

RISK PROFILE QUESTIONNAIRE

Uppscale Investments Private Limited | AMFI Registered Mutual Fund Distributor | ARN-182598

Investor name: _____

Date: ____ / ____ / ____

PAN: _____

Mobile: _____

Tick one option for each question. Score: (a) = 1, (b) = 2, (c) = 3, (d) = 4.

#	Question and options	(a) 1	(b) 2	(c) 3	(d) 4
1	How long can you keep this money invested before you need it? (a) Less than 1 year (b) 1 to 3 years (c) 3 to 5 years (d) More than 5 years	☐	☐	☐	☐
2	If the value of your investment fell by 20% in a year, what would you do? (a) Sell everything (b) Sell part of it (c) Hold and wait (d) Invest more	☐	☐	☐	☐
3	What is your experience with market-linked investments (equity, Mutual Funds)? (a) None (b) A little (mostly deposits and debt funds) (c) Some (hold Mutual Funds for a few years) (d) Regular investor in equity and equity funds	☐	☐	☐	☐
4	How stable is your income and how much of your savings is this investment? (a) Irregular income; this is most of my savings (b) Stable income; this is a large part of my savings (c) Stable income; this is a moderate part (d) Stable income with surplus; this is a small part	☐	☐	☐	☐

Risk category and suitability

Risk category	Score	Equity share	Suitable scheme categories	Max. Riskometer
Conservative	4 – 7	0% – 25%	Liquid, Money Market, Short Duration, Corporate Bond, Conservative Hybrid	Low to Moderate
Moderate	8 – 10	25% – 50%	Above, plus Balanced Advantage, Equity Savings, Multi Asset	Up to Moderately High
Moderately Aggressive	11 – 13	50% – 75%	Above, plus Aggressive Hybrid, Large Cap, Index, Flexi Cap, ELSS	Up to High
Aggressive	14 – 16	75% – 100%	Above, plus Mid Cap, Small Cap, Sectoral / Thematic, International	Up to Very High

Equity share = suggested portion of the amount invested through us in equity-oriented schemes. A scheme is suggested only if its Riskometer is within the maximum for your category.

Total score (4–16): _____

Risk category: _____

Declaration

I confirm that the answers above are true and reflect my current situation. I understand that my risk category will guide the schemes suggested to me, that I should inform Uppscale if my situation changes, and that my profile will be reviewed once a year.

Risk and commission disclosure: Mutual Fund investments are subject to market risks. Read all scheme related documents carefully before investing. Returns are not guaranteed. Uppscale earns trail commission from the AMC on Regular Plans; it is included in the scheme's expense ratio and is not charged to me separately. AMC-wise ranges are displayed at the office and scheme-wise rates are on the website.

Signature of investor: _____